

BAKER BOTTS



Jonathan A. Shapiro

Partner

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Practices

Litigation

Securities and Shareholder
Litigation

Education

J.D., Boston College Law School 1994
summa cum laude

B.A., Brandeis University 1991
cum laude

Admission & Affiliations

State Bar of California

Massachusetts Bar

American Bar Association

Boston Bar Association

Jonathan's practice is focused on the defense of business and securities litigation and government enforcement actions. He has litigated class actions, challenges to corporate transactions and governance, and other matters regarding allegations of fraud and breach of fiduciary duty, including jury and bench trials.

Jonathan's clients include public and financial services companies, officers and directors, and investment bankers. He also serves as counsel defending and conducting internal investigations to those subject to enforcement action under the Securities and Exchange Commission, the Department of Justice, FINRA, and other government agencies and self-regulatory organizations.

Jonathan served as a Law Clerk for the Honorable Joseph A. DiClerico, Jr., Chief Judge, for the United States District Court – District of New Hampshire from 1994 to 1996.

Related Experience

- Defended technology, life sciences and financial services companies against more than four dozen investor class and derivative actions alleging federal securities fraud, improper accounting, director and officer malfeasance and insider trading
- Litigated wide array of consumer and business practices class actions (including those challenging product disclosure, advertising and labeling) and commercial and contractual disputes
- Represented issuers and registrants in many parallel SEC, FINRA and United States Attorney investigations in California, Colorado, Massachusetts, New York, New Hampshire, New Mexico, Washington, and Washington D.C.

- Conducted independent investigations for boards of directors of NYSE, AMEX and NASDAQ issuers, including financial regulatory, accounting, and corrupt practices investigations in Asia, Europe and Latin America
 - Represented investment advisers, insurers, and broker dealers in class actions and regulatory proceedings involving the marketing, distribution and performance of mutual funds, annuities, and traditional and variable insurance
 - Defended securities class actions alleging biased research and market manipulation by investment bankers
 - Defended non-disclosure, fiduciary duty and mismanagement claims brought directly and derivatively by minority investors in close corporations, partnership and limited liability companies
 - Defended officers and directors of publicly traded bank in control contest and against disclosure claims based on filings with federal banking regulators and the SEC
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Awards & Community

Ranked by Chambers USA, 2010-2016

News

17 January 2017 | News Coverage

Financial industry lawyers hopeful for change under Trump

Daily Journal

04 January 2017 | News Coverage

Circuits Split Widely On SEC Judges' Constitutionality

Law360

03 January 2017 | News Coverage

Securities Regulation To Watch In 2017

Law360

Publications, Speeches & Presentations

29 March 2017

The Delaware Supreme Court “Changes Course” in Evaluating MLP Investor Bad Faith Claims by Adopting Somewhat Lower and “More Traditional” Pleading Standard in *Brinckerhoff v. Enbridge Energy Company, Inc.*

Updates

MLP Update

22 November 2016

Trump Administration Analysis and Priorities

Publications

01 August 2016

SEC Amends Administrative Law Judge Proceedings

Videos

Events

Recent

Baker Botts California MCLE Event

With the January MCLE compliance deadline rapidly approaching, please join Baker Botts for a day of hard-to-get MCLE credits on various issues including elimination of bias, competence/substance abuse, and legal ethics.

25 October 2016

ACC San Francisco Chapter CLE Program - Internal Investigations: Staying out of the Sinkhole

Baker Botts Litigation Partners Bridget Moore and Jonathan Shapiro will serve as panelists at a Baker Botts-sponsored ACC San Francisco Chapter CLE program titled "Internal Investigations: Staying out of the Sinkhole" on October 25, 2016.

17 October 2016 - 19 October 2016

2016 ACC Annual Conference

The ACC Annual Meeting offers the best value in corporate legal education.

LOCATIONS:

AUSTIN | BEIJING | BRUSSELS | DALLAS | DUBAI | HONG KONG | HOUSTON | KUWAIT ALLIANCE | LONDON | MOSCOW | NEW YORK | PALO ALTO | RIYADH | SAN FRANCISCO | WASHINGTON

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